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6	Attorneys for the SRA Funds Investor Group	
7	[Additional counsel appear on signature pages]	
8	[Additional counsel appear on signature pages]	
9		DAGEDAGE GOALDE
10	UNITED STATES DISTRICT COURT	
11	NORTHERN DISTRICT OF CALIFORNIA	
12	SAN FRANCISCO DIVISION	
13	SECURITIES AND EXCHANGE	Case No: 3:16-cv-01386-EMC
14	COMMISSION,	Case 1vo. 5.10-ev-01300-Livie
15	Plaintiff,	CTIDUL ATION AND ODDED
	VS.	STIPULATION AND ORDER MODIFYING BRIEFING AND HEARING
16	JOHN V. BIVONA; SADDLE RIVER	SCHEDULE ON RECEIVER'S MOTION TO EMPLOY PROFESSIONALS AND
17	ADVISORS, LLC; SRA MANAGEMENT LLC; FRANK GREGORY MAZZOLA,	FOR INSTRUCTIONS
18	Defendants, and	Date: January 16, 2020
19		Time: 1:30 p.m. Courtroom: 5
20	SRA I LLC; SRA II LLC; SRA III LLC; FELIX INVESTMENTS, LLC; MICHELE J.	Judge: Hon. Edward M. Chen
21	MAZZOLA; ANNE BIVONA; CLEAR	
22	SAILING GROUP IV LLC; CLEAR SAILING GROUP V LLC,	
23	Relief Defendants.	
24		
25		
26		
27		
28	STIPULATION AND [PROPOSED] ORDE	R MODIFYING BRIEFING AND HEARING

SCHEDULE

- 1			
1	Successor Receiver Kathy Bazoian Phelps and counsel for plaintiff Securities and Exchange		
2	Commission ("Commission") and the SRA Funds Investor Group ("Investor Group") hereb		
3	submit this Stipulation and [Proposed] Order to modify the briefing and hearing schedule on Ms.		
4	Phelp's pending motion to employ certain professionals and for instructions (Dkt. Nos. 516 and		
5	538)		
6	STIPULATION		
7	On August 15, 2019, Ms. Phelps filed a motion seeking Court approval to hire a tax advise		
8	and securities counsel to provide advice with respect to the implementation of a distribution plan i		
9	this case. See Dkt. No. 516.		
10	On December 16, 2019, Ms. Phelps filed a supplemental brief in connection with that		
11	pending motion. See Dkt. No. 538. Under the current schedule, the Investor Group's response to		
12	the supplemental brief is due on December 30, 2019, with a reply on January 6, 2020, and a hearing		
13	on January 16, 2020.		
14	Having reviewed the contents of Ms. Phelps' supplemental brief, and in light of the		
15	impending holidays, which makes it difficult to consult with clients and advisors, counsel for the		
16	Investor Group has requested an additional two weeks in which to file a response. Ms. Phelps and		
17	the Commission do not object to extending the briefing schedule and hearing date on the pending		
18	motion.		
19	Ms. Phelps and counsel for the Commission and the Investor Group have conferred and		
20	agreed that, subject to Court approval, the briefing schedule and hearing date on the pending motion		
21	should be modified as follows: Any opposition by the Investor Group will be filed by January 13		
22	2020, with a reply filed by January 21, 2020, and a hearing at 1:30 p.m. on January 30, 2020.		
23			
24	DATED: December 24, 2019 Jonathan K. Levine		
25	Jonathan K. Levine PRITZKER LEVINE LLP		
26	Attorneys for the SRA Funds Investor Group		
27	- 2 -		
28	STIPULATION AND [PROPOSED] ORDER MODIFYING BRIEFING AND HEARING SCHEDULE AND RESCHEDULING CASE MANAGEMENT CONFERENCE		
- 1			

John S. Yun Attorneys for Plaintiff Securities and Exchange Commission Kathy Bazoian Phelps Kathy Bazoian Phelps DIAMOND MCCARTHY LLP Successor Receiver By ORDER The Court hereby accepts the modified briefing and hearing schedule set forth in the Stipulation above and orders as follows: 1. Any opposition by the Investor Group to the Successor Receiver's pending motion (Dkt. Nos. 516 and 538) must be filed on or before January 13, 2020. 2. Any reply by the Successor Receiver must be filed on or before January 21, 2020. 3. A hearing on the motion will held at 1:30 p.m. on January 30, 2020. SO ORDERED Dated: December 26, 2019 Judge Edward M. Chen United States District Court STIPULATION AND [PROPOSED] ORDER MODIFYING BRIEFING AND HEARING		John S. Yun			
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